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#### 23 Abstract

The demand for developing rapid and non-destructive techniques that is suitable to real-time and on-line 24 detection of aflatoxin and fungal contamination has received significant attentions. Measurement 25 26 techniques based on fluorescence spectroscopy (FS), near-infrared spectroscopy (NIRS) and 27 hyperspectral imaging (HSI) have provided interesting and promising results for detecting aflatoxin 28 and/or fungal contamination in a variety of foods. As such, the main goal of this article is to give an 29 overview of the current research progress of FS, NIRS and HSI techniques in rapid detection of aflatoxin 30 and fungal contamination in different varieties of agricultural products. These techniques are described in 31 terms of their working principles, features and application advantages in detecting aflatoxins and fungal 32 contamination. The research advances of each technique applied in different agricultural products are reviewed and the results obtained from different studies are compared and discussed. Perspectives on 33 34 their future trends and challenges are also addressed.

Keywords: aflatoxin; aflatoxigenic fungus; fluorescence; near-infrared spectroscopy; hyperspectral
 imaging; rapid and non-destructive detection

#### 37 Abbreviations

38 *A.*, *Aspergillus*; AFB<sub>1</sub>, aflatoxin B<sub>1</sub>; AFB<sub>2</sub>, aflatoxin B<sub>2</sub>; AFG<sub>1</sub>, aflatoxin G<sub>1</sub>; AFG<sub>2</sub>, aflatoxin G<sub>2</sub>; ANN,

39 artificial neural network; AOAC, Association of Analytical Communities; ARS, Agricultural Research

40 Service; ASTM, American Society of Testing and Materials; BGYF, bright greenish yellow fluorescence;

41 BY, bright-yellow; CDA, canonical discriminant analysis; CEN, European Committee for Standardization;

- 42  $CFU/cm^2$ , colony forming units per cm<sup>2</sup>; COE, constant offset elimination; D, dimensional; DA,
- 43 discriminant analysis; DFI, difference fluorescence index; DT, detrending; EEM, excitation-emission
- 44 matrix; EF-SOSAM, enhanced spectrofluorimetry in combination with second-order standard addition
- 45 method; ELISA, enzyme-linked immunosorbent assay; EU, European Union; FDA, factorial discriminant

46	analysis; FF, fluorescence fingerprint; FFS, forward feature selection; FS, fluorescence spectroscopy;
47	FSP-DF, forward stepwise procedure combined with discriminant function; FT, Fourier transform; FT-
48	NIR, Fourier transform near-infrared; FT-NIRS, Fourier transform near-infrared spectroscopy; FWHM,
49	full width half maximum; GA, genetic algorithm; GA-SPCR, genetic algorithm combined with selective
50	principal component regression; GA-SVM, genetic algorithm combined with support vector machine; GC,
51	gas chromatography; HBBE, hierarchical bottleneck backward elimination; HPLC, high-performance
52	liquid chromatography; HSI, hyperspectral imaging; IS, inoculated samples; ISO, International
53	Organization for Standardization; KNN, k-nearest neighbor; LDA, linear discriminant analysis; LDB,
54	local discriminant bases; LDB-LDA, local discriminant bases combined with linear discriminant analysis;
55	LDC, linear discriminant classifier; LOD, limit of detection; LOGLC, logistic linear classifier; LS-SVM,
56	least squares SVM; MAS, moving average smoothing; ML, maximum likelihood; MLP, multilayer
57	perceptron; MLR, multiple linear regression; MMN, minimum maximum normalization; MS, multi-
58	spectral; MSC, multiplicative scatter correction; MSI, multispectral imaging; MSU, Mississippi State
59	University; N, normal; NDFI, normalized difference fluorescence index; NIR, near-infrared; NIRS, near-
60	infrared spectroscopy; NN, neural network; P, purple; PARAFAC, parallel factor analysis; PARZENC,
61	Parzen classifier; PC, principal component; PCA, principal component analysis; PCR, principal
62	component regression; PDA, potato dextrose agar; PLS-DA, partial least squares discriminant analysis;
63	PLSR, partial least squares regression; QDA, quadratic discriminant analysis; QDC, quadratic
64	discriminant classifier; QHM, quantized histogram matrix; R <sub>C</sub> , correlation coefficient of calibration set;
65	$R_{C}^{2}$ , determination coefficient of calibration set; $R_{CV}^{2}$ , determination coefficient of cross validation; $R_{P}$ ,
66	correlation coefficient of prediction set; $R_P^2$ , determination coefficient of prediction set; REP, relative
67	error of prediction; RF, random forest; RFE, recursive feature elimination; RFI, ratio fluorescence index;
68	RMSD, root mean square difference; RMSECV, root mean square error of cross validation; RMSEP, root
69	mean square error of prediction set; ROI, region of interest; RPD, residual prediction deviation; SAM,
70	spectral angle mapper; SC, sterile control; SD, standard deviation; SECV, standard error of cross
71	validation; SEP, standard error of prediction set; SG, Savitzky-Golay; SLS, straight line subtraction; SNR,

signal-to-noise ratio; SNV, standard normal variate; SNV-DT, SNV-detrending; SPCR, selective
principal component regression; SVM, support vector machine; SWIR, short-wave infrared; TLC, thin

- <sup>75</sup> principal component regression, 5 vin, support vector indennic, 5 vin, short wave initiated, 12C, and
- <sup>74</sup> layer chromatography; TPR, true positive rate; UC, untreated control; USDA, United Stated Department
- 75 of Agriculture; UV, ultraviolet; Vis, visible; Vis/NIRS, Visible and near-infrared spectroscopy.

#### 76 1. Introduction

Aflatoxins are a group of highly toxic secondary metabolites produced by fungi of the genus

- 78 Aspergillus (A.), predominantly A. flavus and A. parasiticus [1]. The term "aflatoxin" comes from three
- 79 words, namely, <u>Aspergillus</u> genus, <u>flavus</u> species and <u>toxin</u>. Among the eighteen different types of
- aflatoxins identified to date, the naturally occurring and well-known types are aflatoxin  $B_1$  (AFB<sub>1</sub>,

81  $C_{17}H_{12}O_6$ , aflatoxin  $B_2$  (AFB<sub>2</sub>,  $C_{17}H_{14}O_6$ ), aflatoxin  $G_1$  (AFG<sub>1</sub>,  $C_{17}H_{12}O_7$ ) and aflatoxin  $G_2$  (AFG<sub>2</sub>,

82  $C_{17}H_{14}O_7$  [2], with AFB<sub>1</sub> identified as the most common and carcinogenic one [1]. The aflatoxins are

83 biosynthetically derived from the identical precursor (versiconal hemiacetal acetate) through the

- 84 polyketide pathway [3]. Structurally, aflatoxins are a group of highly oxygenated heterocyclic
- 85 difuranceoumarin compounds, containing furofuran rings, lactone rings, aromatic six-membered ring,
- and/or pentanone ring moiety as shown in Figure 1 [4-5].

87 Aflatoxins are known to be hepatotoxic, hepatocarcinogenic, teratogenic and mutagenic [6-10], and are considered as Class 1 human carcinogens by the International Agency for the Research on Cancer of the 88 World Health Organization [11]. Among the more than 400 known mycotoxins, aflatoxins especially the 89  $AFB_1$  remains the most toxic [12, 13]. However, more than 5 billion people in developing countries are 90 reported to be chronically exposed to aflatoxins through food [14-15]. The number of deaths in Indonesia 91 92 due to aflatoxin-induced liver cancer is estimated at 20,000/year [16]. Moreover, aflatoxin contamination can result in severe economic losses, *i.e.*, > \$250 million in direct losses to farmers [17]. The U.S. Food 93 94 and Drug Administration economists [18] estimated the annual cost of aflatoxin contamination in the United States at ~ \$500 million through two categories of loss, market rejection and animal health 95 96 impacts. In addition, products infected by the Aspergillus fungi may also contain potent hazards because

97	of their aflatoxin-producing character. However, aflatoxin contamination and fungal infection can occur
98	with greater prevalence in tropical and humid climates in a wide variety of agricultural products during
99	both the pre-harvest and post-harvest periods. In the field, high temperature, prolonged drought conditions
100	and high insect activities are significant factors for pre-harvest aflatoxin contamination. Warm
101	temperature and high humidity are contributing factors that increase the fungal invasion and toxin
102	production during post-harvest stages including storage, processing, transportation and sale [19]. Thus,
103	efficiently detecting, identifying and separating the samples that are contaminated with aflatoxins and/or
104	fungi is of great importance in order to reduce the risk of aflatoxins entering the food chain.
105	Various methods have been developed and utilized to determine aflatoxin and fungal contamination in
106	foods. For aflatoxin detection, the available techniques include thin layer chromatography (TLC), gas
107	chromatography (GC), high-performance liquid chromatography (HPLC), enzyme-linked immunosorbent
108	assay (ELISA), fluorescence polarization assays, radio immunoassays, etc. [20-24]. The current analytical
109	methods validated by the Association of Analytical Communities (AOAC), European Committee for
110	Standardization (CEN) and International Organization for Standardization (ISO) for aflatoxins detection
111	in agricultural and food products are mainly based on these methods mentioned above [11, 25].
112	Traditionally, fungal contamination in foods is determined using microbiological methods in a laboratory
113	setting, which includes fungal enumerating using plate-counting or direct plating techniques, isolating in
114	appropriate media and identifying the genus and species level by morphological characterization,
115	including macroscopic characteristics (color, size, colony appearance) and microscopic characteristics
116	(conidia, conidiophore, conidial heads) [26-28]. These methods for determining aflatoxin and fungal
117	contamination may give accurate results in laboratories, however, most of them require skilled personnel
118	and a well-equipped laboratory. They are also expensive, time-consuming and destructive to the test
119	samples, making them impossible for large-scale non-destructive screening detection or integration in an
120	on-line sorting and production system. In addition, the uneven presence of aflatoxins in both agricultural
121	products and crops often makes the traditional sample-based analysis give a limited view of the degree of

contamination. In this context, the demand for developing a rapid and non-destructive method for sensing
aflatoxin and/or fungal contamination that is suitable to real-time and on-line detection has received
significant attentions.

125 Among currently emerging technologies, the optical-based methods have been reported to show great potential for on-line applications [29-30]. The measurement techniques based on fluorescence 126 spectroscopy (FS), near-infrared spectroscopy (NIRS) and hyperspectral imaging (HSI) have provided 127 128 interesting and promising results for detection of aflatoxin and fungal contamination in varieties of foods. Therefore, the main goal of this article is to give an overview of the current research progress in the 129 130 application of FS, NIRS and HSI techniques in rapid and non-destructive detection of aflatoxin and fungal contamination in different varieties of agricultural products, which consist of corn, rice, wheat, peanuts, 131 almond kernels, pistachio nuts, dried figs, date fruit and chili peppers., etc. These techniques are 132 described in terms of their working principles, features and application advantages in detection of 133 aflatoxin and/or fungal contamination. The research advances of each technique applied in a wide variety 134 of agricultural products are reviewed and the factors influencing their qualitative and quantitative results 135 are discussed. In addition, perspectives on their future trends and challenges are also discussed. 136

137 2. Optical techniques

#### 138 2.1. Fluorescence spectroscopy

FS is an analytical technique of which the theory and methodology have been extensively exploited in the disciplines of both chemistry and biochemistry, such as in investigations of the structure, functions and reactivity of small molecules, synthetic polymers, proteins and other biological molecules, etc., however, it has just recently become a popular tool in the field of food science [31]. The applications of FS in food analysis have significantly increased during the last two decades, and it has been proven to be a useful tool for the characterization of chemical constituents, detection of hazards, and authentication analysis in varieties of foods [32].

146 Fluorescence is the emission of light subsequent to absorption of ultraviolet (UV) or visible (Vis) light of a fluorescent molecule or substructure, called a fluorophore. The fluorophore absorbs energy in the 147 148 form of light at a specific wavelength and liberates energy in the form of emission of light at a longer wavelength [33]. The basic principles can be illustrated by a Jabloński diagram [34]. Briefly, three steps 149 are involved in a typical fluorescence process, namely, excitation, vibrational relaxation/internal 150 conversion, and emission. Excitation of a susceptible molecule by an incoming light happens in 151 femtoseconds, during which light is absorbed by the molecule, and transferred to an electronically excited 152 state. The vibrational relaxation/internal conversion refers to the process where the molecule undergoes a 153 transition from an upper electronically excited state to a lower one, without any radiation. The final 154 155 process involves the emission of light at a longer wavelength and return of the molecule to the ground 156 state [35].

A number of compounds emit fluorescence in the Vis spectral region when excited with UV radiation. 157 Marsh and co-workers first reported observation of a bright greenish vellow fluorescence (BGYF) in 158 cotton bolls infected by A. flavus [36], and later associated aflatoxins in cotton seeds with the BGYF in 159 160 the fiber [37]. The fluorescence was reported to be produced by the reaction of peroxidases in living plants with kojic acid, which is formed by Aspergillus types [37]. However, its mechanisms are complex 161 and still not quite clear now. Some researchers reported aflatoxin itself as a fluorescent substance. Cole 162 163 and Cox [38] found that AFB<sub>1</sub> peaks at excitation wavelengths of 223, 265 and 362 nm; AFB<sub>2</sub> has three peaks at excitation wavelengths of 222, 265 and 363 nm; AFG<sub>1</sub> peaks at excitation wavelengths of 243, 164 257, 264 and 362 nm; and AFG<sub>2</sub> peaks at excitation wavelengths of 214, 265 and 363 nm. With aflatoxin 165 irradiated under UV light, the fluorescence phenomenon can occur, and particularly the two major groups, 166 AFB and AFG can emit fluorescence in the bright-blue (425-480 nm) and blue-green (480-500 nm) 167 168 spectral ranges, respectively, which makes it possible to detect aflatoxin contamination using fluorescence 169 characteristics [39]. Actually, the currently used VICAM AflaTest method for aflatoxins detection is 170 based on their fluorescent characteristics. However, the direct use of fluorescence spectra in agricultural

171 products is made difficult by the complexity of background food matrices as they may contain a great 172 variety of natural fluorescent compounds that can overlap with the analyte signal. Currently, with the 173 extensive investigations concerning detecting aflatoxins using FS in combination with different 174 chemometric techniques, this technique seems to be one of the most promising non-destructive optical 175 detection methods for monitoring aflatoxin contamination in agricultural products.

#### 176 2.2. Near-infrared spectroscopy

177 Near-infrared (NIR) region in the electromagnetic spectrum is defined to be from 780 to 2526 nm (12821-3959 cm<sup>-1</sup>) by the American Society of Testing and Materials (ASTM), which is located between 178 the red band of the visible light and the mid-infrared regions [40]. The NIRS detection technique is based 179 180 on the principle that different chemical bonds in the tested sample absorb or emit different wavelengths of light when irradiated by continuous changing frequency of NIR light. NIR signals are associated with 181 molecular vibrations, specifically the overtones and combinations of fundamental vibrations. Chemical 182 bonds between light atoms, such as C-H, O-H, and N-H generally have high vibrational frequencies, 183 which result in overtone and combination bands that are detectable in the NIR region [41]. 184 For NIRS, there are mainly three modes of data collection, namely, reflectance, transmittance and 185 186 interactance [42]. The determination of a suitable measurement mode relies on the type of sample and the constituents to be tested. Most of the studies reported on detection of aflatoxin contamination and fungal 187 188 infection using NIRS employed the measurement mode of reflectance. The NIRS instruments can be categorized into three groups according to Baeten and Dardenne [43], namely, (i) sequential instruments, 189 where the reflectance or absorbance is sequentially collected in time and the instrument is equipped with a 190 monochromator or filters, and generally the early NIRS instruments belong to this type; (ii) Fourier 191

transform (FT) or multiplex instruments, where several frequencies are detected simultaneously in the

193 form of an interferogram; and (iii) multichannel instruments, where several detectors separately detect the

absorbance at several wavebands.

195 The NIR spectra are generally complex due to highly overlapping and weak absorption bands associated with overtones and combinations of vibrational bonds, which may need chemometric 196 assistance for spectral interpretation and analysis. With the latest development in chemometrics and 197 198 computer techniques, NIRS has been extensively studied as an effective tool for qualitative and quantitative evaluation of food quality and safety attributes [44]. The capability of NIRS in detecting 199 200 aflatoxin contamination and fungal infection have been investigated and proven to be useful in a wide 201 range of agricultural products. There is a report showing that the major Fourier transform near-infrared (FT-NIR) bands associated with aflatoxin molecules include 6923 cm<sup>-1</sup> (1444 nm). 5868 cm<sup>-1</sup> (1704 nm). 202 and 5789 cm<sup>-1</sup> (1727 nm.), which are corresponding to C-H stretching and deformation, 1st overtone of 203 CH<sub>3</sub> and 1st overtone of CH<sub>2</sub>, respectively [45]. Also, the FT-NIR waveband over 5000-7000 cm<sup>-1</sup> (1429-204 2000 nm) was pointed out to tend to correlate with aflatoxin concentrations, which contain combinations 205 206 and overtones associated with the various functional groups of aflatoxin and the starch molecules of corn samples [46]. Regarding fungal infection detection using NIRS, the most significant bands related to 207 fungal infection of cereals were investigated to be around 870-1200 nm, which correspond to NH in most 208 209 amino acids and aromatic rings, radical structures in cell wall components, such as furanic or phenolic compounds, and could be interpreted as signs of kernel deterioration caused by fungal infection [47]. 210

#### 211 2.3. Hyperspectral imaging

212 HSI is a relatively new but rapidly growing technique that integrates spectroscopic and imaging techniques to provide both spectral and spatial information of the tested sample simultaneously. The term 213 "hyperspectral imaging" originated from remote sensing studies and was first mentioned by Goetz et al. 214 215 [48]. The HSI technique can be implemented in reflectance, transmission, scattering and fluorescence modes, and the images obtained, commonly called hypercubes, are three-dimensional (3-D) data cubes 216 217 with two spatial dimensions and one spectral dimension, which are made up of hundreds of contiguous 218 wavebands for each spatial pixel of the tested sample. Therefore, compared to conventional spectroscopic 219 techniques, the added spatial dimension enables the mapping of chemical components in the tested

sample (chemical imaging), which is particularly useful for detection of unevenly distributed components,
such as aflatoxin contamination and fungal infection in agricultural products.

There are generally three approaches for acquiring 3-D hypercubes  $(x, y, \lambda)$ , namely point-scan, line-222 223 scan and area-scan methods. In the point-scan method (i.e., the whiskbroom method), a single point is scanned along two spatial dimensions (X and Y) by moving either the sample or the detector, and 224 225 hyperspectral image data are accumulated pixel by pixel. The line-scan method (i.e., the push-broom method) is an extension of the point-scan method, in which a line of spatial information with a full 226 spectral range per spatial pixel is captured sequentially to complete a volume of spatial-spectral data [49]. 227 228 Different from the spatial-scan methods of point-scan and line-scan, the area-scan method (i.e., the band sequential method) is a spectral-scan method, in which a full spatial scene at each spectral band is 229 captured sequentially to form a 3-D hypercube data. No relative movement between the sample and the 230 detector is required for this method, and the use of multiple band-pass filters, a liquid-crystal tunable filter, 231 or an acousto-optic tunable filter exemplifies this approach [50-51]. Among the three methods, line-scan 232 imaging is a typical choice for online applications where the sample is moving. Lately, HSI technique is 233 becoming increasingly important for rapid and non-destructive assessment of food quality and safety. The 234 applications of HSI for detecting aflatoxin contamination and fungal infection started relatively recently, 235 while considerable studies have shown its great potential in such aspects. 236

237 3. Applications of optical techniques in detection of aflatoxin and fungal contamination

With the development of optical techniques, considerable studies have been conducted and reported on
using FS, NIRS and HSI to determine aflatoxin and fungal contamination in different varieties of
agricultural products. Tables 1 and 2 summarized the recent publications on detection of aflatoxin and
fungal contamination, respectively. It can be observed from both tables that the three optical techniques of
FS, NIRS and HSI have been studied extensively and promising results have been demonstrated in
detecting aflatoxin contamination or fungal infection of different varieties of agricultural products.
Among that, corn is the mainly focused product, and has been studied a lot compared to other products.

The NIRS and HSI techniques have been exploited extensively in detecting both aflatoxin and fungal contamination, while the FS technique was primarily applied to detect aflatoxin contamination and the reports on using it to determine fungal infection are rare. The detailed applications of each optical technique are described in the following sections separately.

249 3.1. Applications of FS

250 3.1.1. Applications of FS in aflatoxin contamination

251 Not long after the report associating aflatoxins in cotton seeds with BGYF in the cotton fiber, BGYF was observed on aflatoxin-contaminated corn kernels [52-54]. Fluorescence HSI technique is a 252 253 combination of fluorescence spectra and HSI techniques. Fluorescence HSI employs UV lights as the 254 excitation sources, which is the main difference between the fluorescence and the common HSI systems. 255 In the past decade, fluorescence HSI technique has been developed to enable the acquisition of fluorescence image data with both high spectral and spatial resolutions [55-56] and was first utilized to 256 detect aflatoxin-contaminated corn samples by Yao et al [57]. Series of studies conducted at Mississippi 257 258 State University (MSU) have shown great potential of the fluorescence HSI technique in distinguishing aflatoxin-contaminated corn kernels [58-65]. Based on the laboratory fluorescence HSI system with the 259 260 excitation wavelength centered at 365 nm, Yao et al. [59] examined the relationship between fluorescence emissions of corn kernels inoculated with A. flavus and their aflatoxin contamination levels. A 261 fluorescence peak shift phenomenon was found among different groups of corn kernels contaminated 262 263 with different aflatoxin levels, namely, the fluorescence peak moved toward a longer wavelength in the blue region for the highly contaminated kernels and toward a shorter wavelength for healthy or slightly 264 265 contaminated kernels. Additionally, a general negative correlation was observed between the aflatoxin concentrations and the fluorescence magnitudes in the blue and green spectral regions, and an adjusted 266 multiple linear regression (MLR) model yielding a determination coefficient of calibration set  $(R_{\rm C}^{2})$  of 267 0.72 based on 74 fluorescence wavebands, which indicated a moderate capability of fluorescence HSI in 268 quantifying aflatoxin contents in corn kernels. The discriminant analysis showed classification accuracies 269

between 84% and 91% when classifying the corn samples with the aflatoxin threshold of 20 or 100 µg/kg. 270 Further, in order to reduce the data acquisition time and image space, genetic algorithm (GA) and 271 272 selective principal component regression (SPCR) algorithms were performed to select the fluorescence 273 features. The results showed that a correlation coefficient of calibration set ( $R_c$ ) of 0.80 for quantifying the aflatoxin content in infected corn kernels was achieved when using 30 of the original 74 wavebands 274 determined by GA for SPCR transformation produced, which was comparable to that (R<sub>C</sub>=0.82) obtained 275 using the standard principal component regression (PCR) analysis based on the whole wavebands [60]. 276 For the two-class classifications with the aflatoxin thresholds of 20 and 100 µg/kg, the employed support 277 vector machine (SVM) method produced validation accuracies of 87.7% and 90.5% respectively, when 278 279 using 36 and 11 fluorescence wavebands selected by the GA method. The obtained accuracies were similar to those obtained using the whole spectral bands, while the image space was reduced significantly, 280 281 especially with the threshold of  $100 \,\mu g/kg$ , where only 11 wavebands were used indicating the possibility 282 of developing a fluorescence multispectral imaging (MSI) system for aflatoxin detection on-line [61]. In addition, Yao et al. [62] applied two image pixel-based classification algorithms of maximum 283 284 likelihood (ML) and binary encoding to discriminate healthy and aflatoxin-contaminated corn kernels, and obtained the same validation accuracy of 87% using both algorithms when taking 20 µg/kg of 285 aflatoxin as the classification threshold. When 100 µg/kg was used as the classification threshold, the 286 287 binary encoding algorithm achieved a validation accuracy of 88%, better than the 80% obtained using the ML algorithm. In this work, the authors also calculated three fluorescence indices between each two-band 288 289 combination, namely, the normalized difference fluorescence index (NDFI), the difference fluorescence 290 index (DFI) and the ratio fluorescence index (RFI) and found that using the NDFI at 437 and 537 nm, the 291 maximum correlation between the corn aflatoxin concentration and the calculated index value could be 292 achieved at -0.81, which constituted the first important step towards the development of a new aflatoxin screening method based on the simplified MSI system that is able to separate the contaminated product 293 294 from the uncontaminated stream. The fluorescence peak shift phenomenon was also observed as

295 previously mentioned, with the mean fluorescence peak located at 467 and 481 nm for control and 296 contaminated corn kernels when 20 µg/kg was used as the threshold, and 470 and 484 nm for control and 297 contaminated corn kernels with 100 µg/kg as the threshold (Figure 2). Further, Yao et al. [63] also 298 examined the capability of fluorescence HSI in distinguishing corn kernels artificially inoculated with toxigenic (AF13) and atoxigenic (AF38) strains of A. flavus. In this work, the authors first classified the 299 infected corn kernels into two groups of "glowing" and "adjacent", with the "glowing" group referring to 300 the corn kernels that exhibited fluorescence identifiable by the human eye under UV illumination, and the 301 "adjacent" group referring to those adjacent to the glowing fluorescent kernels. Although the linear 302 303 discriminant analysis (LDA) results did not show considerable potential of the fluorescence spectra in distinguishing control (uninfected), "adjacent" and "glowing" corn kernels, it did well in identifying the 304 corn kernels inoculated with toxigenic and atoxigenic fungal strains. Using germ and endosperm sides of 305 306 "adjacent" kernels, the overall classification accuracy of 100% and 71.7% was achieved, and 71.7% and 55.5% using the "glowing" kernels, respectively. Based on all the corn data, the LDA algorithm achieved 307 the classification accuracy of 78.9% and 77.2% in grouping corn kernels with the aflatoxin threshold of 308 20 µg/kg, and of 94.4% and 91.7% with the aflatoxin threshold of 100 µg/kg, when using the germ and 309 310 endosperm side, respectively. As demonstrated from the results above, the germ side was found more 311 useful in identifying both the infected strain and the aflatoxin contamination level of corn kernels using fluorescence spectra. Hruska et al. [64] from the same research group at MSU, examined the fluorescence 312 313 spectra differences of aflatoxin produced by the naturally infected and artificially inoculated corn ears from the same field. Results of the study indicated that when all the spectral data across all sample ears 314 315 were averaged, the potential differences between corn kernels from the naturally infected, artificially 316 inoculated and control (healthy) ears were obscured, however, spectral analysis based on the 317 contaminated "hot" pixels of hyperspectral images showed a distinct difference between the contaminated 318 and control ears with fluorescence peaks centered at 501 and 478 nm, respectively. Both the artificially 319 inoculated and naturally infected corn ears had fluorescence peaks at 501 nm, which validated the

usefulness of the achieved advancements in the fluorescence HSI technique for detection of aflatoxinfrom naturally infected corn ears in the field.

In addition to aflatoxin detection in corn samples, studies have also been reported with aflatoxin 322 323 contaminated peanuts, hazelnuts, pistachio nuts, wheat kernels, red chili pepper, et al. Chen et al. [66] 324 reported observing the fluorescence phenomenon on peanut kernels with excitation at 365 nm. The peanut 325 samples with skin were prepared by artificial spiking with A. flavus suspension and incubating for 326 different time intervals, namely, 0, 12, 24, 36, 48 and 72 h. The authors found that the emission peak of aflatoxin-contaminated peanuts was around 450 nm, and a negative correlation existed between the 327 328 fluorescence intensities and the aflatoxin contamination levels over the spectral range of 440~460 nm. The negative correlation obtained here is in accordance with that reported by Yao et al. [59] in their work 329 with corn kernels. While the fluorescence peak location was somewhat different, the difference could be 330 attributed to the fluorescence peak shift phenomenon resulting from the effect of different aflatoxin 331 concentrations and background food matrices. Kalkan et al. [67] conducted an experiment using 332 fluorescence MSI with the excitation wavelength of 365 nm to identify aflatoxin-contaminated hazelnuts, 333 ground red chili pepper flakes, and fungi-infected hazelnuts. The samples were screened with 12 different 334 filters, some of which were between 400-510 nm with 10 nm full width half maximum (FWHM) and 335 others at 550 and 600 nm with 70 and 40 nm FWHM, respectively. By developing a local discriminant 336 337 bases (LDB) -based feature extraction and selection algorithm for the analysis of multispectral data, the authors extracted the features which were able to achieve the highest classification accuracy from only 338 two or three spectral bands, making the design of a simple, effective, and practical food inspection and 339 340 sorting system possible. Based on the algorithm developed for the study, the classification accuracies of 92.3% and 79.2% were obtained for hazelnuts and red chili peppers, respectively. By removing the 341 342 hazelnuts/peppers that were classified as aflatoxin-contaminated, the aflatoxin concentrations were decreased from 608 to 0.84 µg/kg for the tested hazelnuts and from 38.26 to 22.85 µg/kg for the tested red 343 344 chili peppers. The algorithm was also proven useful in classifying hazelnut kernels infected and

345 uninfected by fungi, and an accuracy of 95.7% was achieved. By removing the kernels identified as infected, the aflatoxin concentration of the tested hazelnut kernels was decreased from 608 to 0.7 µg/kg. 346 Studies using fluorescence spectra to detect aflatoxin contamination in pistachio nuts are many. An 347 348 early work was reported to use BGYF to identify aflatoxin-contaminated nuts from 46 lots of Iranian 349 pistachio nuts and found that 7% of the pistachio shells exhibited BGYF and kernels from the fluorescent 350 nuts contained 50% of the total aflatoxin contained in the samples [68]. Although this work does not conclude that aflatoxin is always present where BGYF exists, the results do show that the removal of 351 pistachio nuts with fluorescing shells from a lot could significantly reduce the total concentration of 352 353 aflatoxin. Farsaie et al. [69] conducted experiments aimed to clarify the fluorescence characteristics of pistachio nuts, and their results showed that, in addition to BGYF, there were at least three other 354 categories of fluorescence evident when excited at 360 nm, which they named purple (P), normal (N), and 355 bright-yellow (BY). An emission ratio of  $I_{490}/I_{420}$  (where  $I_{490}$  and  $I_{420}$  are the fluorescence intensity at 490 356 and 420 nm, respectively) was a practical method to separate the four categories of fluorescence. Based 357 on these results, McClure and Farsaie [70] designed a dual-wavelength fluorescence photometer to 358 measure the fluorescence of aflatoxin-contaminated pistachio nuts and subsequently developed an 359 automatic electro-optical sorter to remove the BGYF nuts [71]. However, no report about the viability of 360 this method at the commercial level has been published. Meanwhile, another study concluded that the 361 362 analysis of pistachio nuts with fluorescent shells was not an appropriate means to find all kernels containing high concentrations of aflatoxin because of the observed non-specific nature of BGYF as a 363 criterion for aflatoxin screening in pistachio shells [72]. Later, Hadavi [73] investigated the feasibility of 364 365 using BGYF as a discriminating factor to identify the A. flavus-infected pistachio nuts and consequently potentially the aflatoxin-contaminated nuts, at harvest and post-harvest. Good relationships were found 366 367 between the presence of BGYF and aflatoxin contamination in pistachio nuts at harvest, and samples with visible mold post-harvest. The mean aflatoxin concentration of the BGYF pistachio nuts collected from 368 369 orchards was 2414.99 µg/kg, comparing to 9.86 µg/kg of the non-BGYF pistachio nuts. The author also

pointed out that in the samples where aflatoxin contamination took place after rehydration of dried nuts

post-harvest, the contamination could not be characterized by BGYF because of the lack of enzymatic 371 372 activity. More recently, Lunadei et al. [39] developed a fluorescence MSI system equipped with a filter wheel to collect the fluorescence images at 410, 440, 480, 520, 560, and 600 nm with the excitation 373 wavelength of 365 nm to identify and screen aflatoxin-contaminated pistachio nuts and cashews. By 374 performing the forward stepwise procedure with a tolerance of 0.01, the authors determined the optimum 375 two wavelengths for BGYF detection, namely, 480 and 520 nm for pistachio nuts, and 440 and 600 nm 376 for cashews. The results showed that the BGYF fluorescent pistachios nuts and cashews identified by 377 their developed MSI system contained 92% and 82%, respectively, of the total nuts that were 378 379 contaminated by aflatoxins. 380 Except from the conventional fluorescence spectra, other types of fluorescence such as fluorescence fingerprint (FF), enhanced fluorescence have also been studied to detect aflatoxin contamination in 381 different varieties of agricultural products. FF, which is also known as excitation-emission matrix (EEM), 382 is a series of fluorescence emission spectra acquired at consecutive excitation wavelengths [74-75]. The 383 FF method is highly sensitive compared with conventional fluorescence measurement because the FF 384 method is capable of acquiring all the spectral data, which consists of three-dimensional information of 385 excitation × emission × fluorescence intensities. Fujita et al. [76] reported a study using FF with the 386 387 excitation and emission wavelengths between 200 and 800 nm to detect total aflatoxins (AFB<sub>1</sub>, AFB<sub>2</sub>,  $AFG_1$  and  $AFG_2$ ) in nutmeg extract. The contaminated nutmeg extract samples were artificially prepared 388 by spiking with aflatoxin standard. Based on partial least squares regression (PLSR) modeling technique, 389 390 significant correlation was observed between the actual and the predicted values, with the determination coefficient  $(R_P^2)$  and standard error (SEP) of prediction set of 0.773 and 1.0  $\mu$ g/L, respectively, 391 392 demonstrating that FF could be a useful tool in quantitative determination of aflatoxin concentrations in 393 food. Another method using enhanced fluorescence was reported to detect the AFB<sub>1</sub> concentration in 394 wheat kernels and pistachio nuts [77-78]. The method is based on the enhanced fluorescence of AFB<sub>1</sub> by

β-cyclodextrin in 10% (w/w) methanol-water solution, thus it is a sample-destructive method. However, 395 396 as the enhanced fluorescence spectra can achieve much more accurate results compared with conventional 397 fluorescence spectra, it is also reviewed in this paper as a fluorescence-based alternative for aflatoxin detection in a rapid and accurate way. The determination of  $AFB_1$  in wheat kernels was accomplished by 398 enhanced spectrofluorimetry in combination with second-order standard addition method (EF-SOSAM) 399 [77]. In order to accurately determine the AFB<sub>1</sub> content in wheat extracts, the adopted strategy combined 400 the use of parallel factor analysis (PARAFAC) for extraction of the pure AFB<sub>1</sub> signal and the standard 401 addition method. The obtained results showed that the AFB<sub>1</sub> values analyzed by EF-SOSAM and HPLC 402 403 techniques were well correlated in the range 0-18  $\mu$ g/kg, with R<sub>c</sub> over 0.99 and limit of detection (LOD) 404 of 0.9  $\mu$ g/kg. Additionally, the results indicated that the presence of AFG<sub>1</sub> in wheat poses no serious 405 interference in determining  $AFB_1$  content with their proposed method. The determination of  $AFB_1$  in 406 pistachio nuts was conducted using both normal and synchronous fluorimetry in combination with several different multivariate calibration methods and derivative techniques [78]. Synchronous fluorescence 407 spectrometry is a simple modification of the conventional fluorescence technique, and it can afford higher 408 409 selectivity thanks to the narrowing of spectral bands and the simplification of spectra [79-80]. Eighteen 410 combinational methods of fluorescence spectra type (normal and synchronous), modeling methods (MLR, 411 PCR and PLSR) and derivative orders (0, 1 and 2) were tested and compared to find the best model for 412 prediction of AFB<sub>1</sub> in pistachio nuts. The authors found that the best result was obtained using a method 413 based on 0-order derivative synchronous fluorescence spectra in combination with MLR, which produced the root mean square difference (RMSD) and relative error of prediction (REP) of 0.328 and 4.354%, 414 respectively, indicating the usefulness of the developed method for quantitative determination of AFB<sub>1</sub> in 415 416 food.

Even though the FS technique has gained great success in identifying aflatoxin-contaminated samples,
it needs to be noted that controversial results were also presented in some studies. As early as 1989,
Wilson [81] found that the aflatoxin-contaminated corn kernels did not always exhibit BGYF due to the

420 insufficient amount of peroxidase in kernels. Jacks [82] stated that certain types of fungi that do not
421 produce aflatoxin may yield kojic acid in foods and may be misclassified as aflatoxin-contaminated by
422 the BGYF test. Atas et al. [83] also argued that lack of the peroxidase enzyme may conceal the presence
423 of aflatoxins due to the absence of BGYF, and thus BGYF itself could not directly indicate the actual

424 presence of aflatoxin as it may result in false positives and negatives during the evaluation stage.

425 3.2. Applications of NIRS

426 3.2.1. Applications of NIRS in detection of aflatoxin contamination

Over the last two decades, a considerable amount of studies have been reported employing the NIRS 427 428 technique in either reflectance or transmittance mode to detect aflatoxin contamination in a wide variety of agricultural products, with most of them focusing on corn samples. To the best of our knowledge, 429 430 Pearson et al. [84] reported the first results using NIRS to evaluate aflatoxin contamination in corn kernels where the contaminated samples were artificially prepared by wound-inoculation with A. flavus 431 432 during the late milk to early dough stage of kernel maturity. Both the transmittance and reflectance 433 spectra over the spectral range of 500-950 nm and 550-1700 nm were collected and applied to investigate their capabilities in distinguishing the aflatoxin contamination levels of single corn kernels. Based on both 434 435 discriminant analysis (DA) and PLSR, qualitative models were established to classify the aflatoxin contamination of single corn kernels into three groups, namely, low (< 10 µg/kg), intermediate (10 to 100 436  $\mu g/kg$ ) and high (> 100  $\mu g/kg$ ) groups. Overall, the obtained results showed that the classification 437 438 accuracies were similar when using DA or PLSR, and the classification models established using the 439 transmittance spectra yielded slightly better results than using reflectance. In detail, more than 95% of the 440 corn kernels were classified correctly as containing either high (> 100  $\mu$ g/kg) or low (< 10  $\mu$ g/kg) levels 441 of aflatoxin, while the classification accuracies for the intermediate kernels (10 to  $100 \mu g/kg$ ) were only 442 about 25%. The authors also found that using reflectance spectra, the germ-down orientation (germ facing the sensor) resulted in better PLSR classification accuracies for all groups than the germ-up orientation, 443 which is probably because fungus generally invades the germ part of corn kernel first and thus it could be 444

easier to detect aflatoxin contamination from that part. Later, the same research group at the Agricultural 445 Research Service, United Stated Department of Agriculture (ARS, USDA) developed and tested a high-446 447 speed dual-wavelength sorter for removing corn kernels contaminated with aflatoxin and fumonisin [85]. Based on the reflectance spectra of single kernels between 400 and 1700 nm, the absorbance band pair of 448 750 and 1200 nm was determined as the optimum pair of optical filters by performing DA analysis [86]. 449 Using these two wavelengths, the classification accuracy of > 99% could be achieved in a laboratory 450 setting with corn kernels stationary using the aflatoxin threshold of 100  $\mu$ g/kg. The performance of the 451 developed sorter was also tested at high speed with corn kernels fed by a vibratory feeder at a rate of 30 452 kg/hr/channel, and results showed that the reductions in aflatoxin averaged 82% with an initial level > 10453 454  $\mu$ g/kg in corn kernels, and 38% with an initial level < 10  $\mu$ g/kg. It should be noted that even though the 455 simple dual-wavelength sorter developed in this study could not work well in identifying corn kernels 456 with low concentrations of aflatoxin contamination, it could screen and remove the highly contaminated corn kernels effectively in a high-speed environment. Thus, the developed sorter could be a low-cost, 457 high-throughput, useful tool for decreasing aflatoxin contamination for big lot samples. 458 More recently, a similar study reported investigating the potential use of a low-cost, multispectral sorter 459

460 in identifying aflatoxin- and fumonisin-contaminated Kenyan corn kernels [87]. The corn samples were collected from both, small-scale corn traders in open-air markets and inoculated maize field trials in 461 462 Eastern Kenya. Based on the reflectance values at nine distinct wavelengths between 470 and 1550 nm and the classification algorithms of LDA, random forest (RF) and SVM, different qualitative models were 463 developed using the thresholds of 1, 10 and 100  $\mu$ g/kg for aflatoxin and 100, 1,000 and 10,000  $\mu$ g/kg for 464 fumonisin. The results showed that with the removal thresholds of  $>10 \,\mu$ g/kg for aflatoxin and >1000465  $\mu$ g/kg for fumonisin, the optimum model achieved sensitivity and specificity of 77% and 83%, 466 467 respectively. Specifically, the authors pointed out that the basic circuitry is relatively inexpensive (< US\$100 in components), and the throughput is modest (20 kernels/s, theoretically around 25 kg/h), 468 469 making it suitable for small-scale milling applications in developing countries such as Kenya. Lee et al.

470 [46] reported a study using Fourier transform near-infrared spectroscopy (FT-NIRS) to classify the aflatoxin contamination levels in ground corn samples. In this study, the FT-NIR reflectance spectra were 471 recorded with assistance of an integrating sphere, in the region of  $4000 \sim 9999 \text{ cm}^{-1}$  (1000 $\sim 2500 \text{ nm}$ ) from 472 232 genetically and phenotypically diverse and naturally-contaminated and artificially-inoculated corn 473 samples. Based on the pre-processed spectra by normalization, 1<sup>st</sup> derivative, 2<sup>nd</sup> derivative and 474 deconvolution, the samples were grouped into 5 categories, namely, Group 1 for  $< 20 \,\mu$ g/kg (negative), 475 Group 2 for 20-200  $\mu$ g/kg, Group 3 for 300-450  $\mu$ g/kg, Group 4 for 550-700  $\mu$ g/kg, and Group 5 for > 476  $850 \,\mu g/kg$ . The highest classification accuracies of 96%, 96% and 72%, were achieved using the 477 classification algorithms of LDA, k-nearest neighbor (KNN), and partial least squares discriminant 478 479 analysis (PLS-DA), respectively, for the external validation set. Figure 3 shows the scatter plots created by the first two canonical discriminant scores derived from normalized spectra of FT-NIRS, and it can be 480 481 seen that Group 1 can be clearly separated from the highly contaminated samples using only two 482 canonical scores. Additionally, based on the quantitative modeling methods of MLR, PCR and PLSR, models were established to also quantify the aflatoxin contamination. The obtained results showed that 483 MLR method performed best, achieving the  $R_P^2$  and root mean square error of prediction set (RMSEP) of 484 0.876 and  $106 \mu g/kg$ , respectively, using the deconvoluted spectra. 485

In addition to corn samples, a few studies have also been reported on rice and spices. Zhang et al. [88] 486 487 investigated the capability of FT-NIRS absorbance spectra over the spectral range of 10000~4000 cm<sup>-1</sup> (1000~2500 nm) in quantifying AFB<sub>1</sub> contamination in paddy rice. Both naturally and artificially 488 489 contaminated samples were covered in this study. Using the pre-processed spectra by smoothing, normalizing, baseline offset, standard normal variate (SNV), SNV-detrending (SNV-DT) and 490 491 multiplicative scatter correction (MSC), different PLSR equations were established. Their modeling results were compared, and the best model achieved by the SNV-DT pre-processed spectra, with  $R_P^2$ , SEP 492 and residual prediction deviation (RPD) of 0.85, 3.21 µg/kg and 1.97, respectively. Additionally, the 493 494 authors also calculated the sensitivity of such method, which achieved  $0.004 \,\mu g/kg$ , suggesting the great

495 potential of FT-NIRS in detecting aflatoxin contamination. In another work, based on NIRS with assistance of a remote reflectance fiber-optic probe over the spectral range of 1100~2000 nm, Hernández-496 497 Hierro et al. [89] quantified AFB<sub>1</sub> and total aflatoxins contents in naturally-contaminated red paprika 498 powder. Based on the pre-processed spectra by MSC, SNV, detrending (DT), SNV-DT, derivative transformation and smoothing, quantitative models were established using the modified PLSR algorithm. 499 The best cross-validation results were achieved with standard error of cross validation (SECV) of 0.2 and 500  $0.4 \mu g/kg$  for AFB<sub>1</sub> and total aflatoxins, respectively. External validation was also performed with few 501 samples, which obtained RMSEP of 0.2 and 1.2  $\mu$ g/kg for AFB<sub>1</sub> and total aflatoxins, respectively. 502 503 Tripathi and Mishra [45] also reported a study quantifying AFB<sub>1</sub> content in red chili powder by using FT-NIRS between 12800 and 3600 cm<sup>-1</sup> (780~2500 nm), however contrary to the sample preparation 504 methods employed in the studies above, the contaminated samples were prepared by spiking the aflatoxin 505 free chili powder with the AFB1 standard in methanol. Using the pre-processed spectra by straight line 506 507 subtraction (SLS), constant offset elimination (COE) and minimum maximum normalization (MMN), different PLSR quantitative models were established and their modeling results were compared. The 508 509 obtained results showed that the best cross validation result was achieved by using the SLS pre-processed spectra between 6900.3-4998.8 and 4902.3-3999.8 cm<sup>-1</sup>, with root mean square error of cross validation 510 511 (RMSECV) of 0.65%. The model performance was also verified by external validation, which achieved high correlation coefficient of prediction set  $(R_P)$  of 0.967. 512

In an effort to address aflatoxin detection in more than a single type of grain, Fernández-Ibañez et al. [47] exploited the possibility of establishing a general model for detecting aflatoxin in both corn and barley samples. Both grating visible and near-infrared spectroscopy (Vis/NIRS) (400-2500 nm) and FT-NIRS (9000-4000 cm<sup>-1</sup>, i.e., 1112-2500 nm) instruments were employed in this work, and the contaminated samples were artificially induced by vaporization with water. The PLS discriminant equations were established with the pre-processed spectra by SNV-DT and derivatives. The best modeling results using the grating NIRS instrument were achieved with the determination coefficient of cross

validation  $(R_{CV}^2)$  and SECV of 0.80 and 0.211, 0.85 and 0.176, 0.92 and 0.142, respectively, for individual corn, barley and corn + barley samples. The  $R_{CV}^2$  and SECV using the FT-NIRS instrument were obtained with 0.82 and 0.201, 0.84 and 0.183, 0.81 and 0.203, respectively, for individual corn, barley and corn + barley samples. This work showed that the results for the combined data set of corn + barley were comparable to those obtained for individual variety of grain, which suggested the possibility of establishing a general model for detection of aflatoxin contamination in cereal grains.

#### 526 3.2.2. Applications of NIRS in fungal contamination

527 Although products infected by fungi do not signify a definite aflatoxin contamination, fungal infection can be an important indicator for potential hazards because of their aflatoxin-producing character. In 528 529 addition, fungi-infected agricultural products are generally of low quality and may have undesirable traits, such as discoloration, reduced density, being more friable, etc. In this context, investigations using optical 530 methods to distinguish and remove such fungi-infected samples are attracting more attentions. Around 531 two decades ago, Hirano et al. [90] reported an early work using transmittance spectra between 500 and 532 1500 nm to detect internally moldy peanut kernels. In this study, moldy peanut samples were artificially 533 prepared by inoculating the spore suspension of A. flavus between the two seed leaves of the kernels. The 534 authors found that the transmittance ratios of 700/1100 nm between the internally moldy and sound 535 peanut kernels were quite different and thus, could be used to distinguish the internally moldy peanut 536 kernels from the sound ones even though no obvious external symptoms were noted. Additionally, a 537 strong linear relationship was found between the transmittance ratio of 700/1100 nm and the degree of 538 triglyceride hydrolysis in peanuts, which revealed that the changes in the NIR transmittance spectra 539 resulted mainly from the metabolization of nutrients in peanuts caused by fungal infection. Removal tests 540 were also conducted in this work to verify the usefulness of the developed method in reducing aflatoxin 541 542 contamination of peanuts. Pearson and Wicklow [86] investigated the feasibility of several nondestructive techniques including reflectance Vis/NIRS (550~1770 nm) in identifying the fungus-infected 543 544 corn kernels by A. flavus, A. niger, Diplodia maydis, Fusarium graminearum, Fusarium verticillioides

545 and Trichoderma viride. Samples in this study were collected from corn ears which were inoculated with one of the above-mentioned fungi in the field, containing a total of 1222 Pioneer hybrid P-3394 kernels 546 547 and 1120 Farm Service hybrid FS-7111 kernels. Based on the visual characteristics of each corn kernel, the samples were first separated into three categories, namely, "extensive discoloration" of 50% or more 548 of the kernel surface, "minor discoloration" of less than 50% of the kernel surface, and "asymptomatic", 549 referring to no visible kernel damage. Figure 4 shows the mean absorbance spectra of each category. This 550 figure demonstrates obvious spectral differences among different groups, especially the differences 551 between the "asymptomatic" group and the other two groups. Based on the stepwise discriminant analysis, 552 3 feature wavelengths were determined in discriminating the asymptomatic and "extensive discoloration" 553 samples, which were the wavelength combinations of 1690, 1695 and 1700 nm, 535, 1690 and 1700 nm, 554 540, 780 and 1405 nm using the spectra collected from the "germ side only", "endosperm side only" and 555 556 "avg. of endosperm and germ sides", respectively. Using these determined feature wavelengths, the 557 classification accuracies of 97%, 98% and 98% were obtained in identifying the "asymptomatic" samples from the germ, endosperm and "avg. of endosperm and germ" sides, respectively, and correspondingly, 558 91%, 90% and 85% for the "extensive discoloration" samples. The authors also found that using only the 559 560 absorbance values at 715 and 965 nm, good results could also be achieved in identifying the "extensive 561 discoloration" and uninfected control samples. What is more, the authors also tried to classify the infected corn kernels by their fungal species, and the results showed that Vis/NIR reflectance spectra combined 562 563 with the neural network (NN) algorithm were able to classify the infected fungal species if using the "extensive discoloration" symptoms; however, if using the "minor discoloration" symptoms, the 564 classification results were inferior. 565

More recently, using the reflectance spectra between 904 and 1685 nm, Tallada et al. [91] conducted a study classifying the level of fungal damage severity on corn kernels (levels 1-4, referring to asymptomatic, mildly infected, moderately infected and severely infected, respectively) and also the infecting fungus. In total, 7 fungal species were investigated, namely, *A. flavus, Bipolaris zeicola*,

570 Diplodia maydis, Fusarium oxysporum, Penicillium oxalicum, Penicillium funiculosum and Trichoderma harzianum. Using the pre-processed spectra by mean centering and SNV, two classification algorithms of 571 572 LDA and multi-layer perceptron artificial neural network (ANN) were employed to establish models, however, the results in classifying the level of severity from 1 to 4 were all inferior. Thus, the authors 573 combined the infected samples at levels 1 and 2, 3 and 4 to represent the early stage and advanced stage 574 infection, respectively. It was found that compared to the early stage infection (levels 1 and 2), it was 575 generally much easier to discriminate the infected samples at an advanced stage (levels 3 and 4) from the 576 uninfected ones. For instance, based on the LDA, an average classification accuracy of 85% was obtained 577 578 in discriminating the infected samples at an advanced stage from the uninfected samples, versus an 579 average of 77% for the early stage infection. In classifying the A. flavus-infected and the uninfected samples, the classification accuracies of 93%, 76% and 74% were obtained for the uninfected control, 580 581 early stage and advanced stage groups, respectively, using LDA, and 81%, 86% and 68% using ANN. However, the results were not useful in identifying the A. flavus-infected corn kernels from those infected 582 with other fungal species using either algorithms. Further, the NIR reflectance spectra over the spectral 583 584 range of 1100~2500 nm was exploited to determine the ratio of infected corn (w/w) [92]. Both ground 585 and whole corn kernels were used, and the infected samples were artificially prepared by inoculating with 586 the A. flavus spore suspension and incubated at 37 °C for 4 days. Results showed that the PLSR models based on the ground corn performed better, with the best RPD of 5.36 and 1.74 obtained using the ground 587 588 and whole corn kernels, respectively.

In addition to corn samples, Sirisomboon et al. [93] examined the possibility of using the reflectance NIRS between 950 and 1650 nm to predict the percentage of fungi-infected rice. Different from the sample preparation method in Phetkaeo et al.'s work [92], the artificially infected rice samples were prepared by simply inoculating different concentrations of *A. flavus* spore suspension, in other words, no following incubation procedure was applied. Both total fungal infection and yellow-green *Aspergillus* infection were tested in this study, however, the best R<sub>P</sub> and SEP of PLSR models only achieved 0.71 and

595 28.07% in detecting total fungal infection, and 0.48 and 17.93% for yellow-green Aspergillus infection, respectively. Compared to Phetkaeo et al.'s work [92], the inferior results may be partly due to the 596 597 relatively narrower spectral range used in the present study. On the other hand, the differences in sample 598 preparation may be another reason for the discrepancy, as the incubation following inoculation could make the symptoms of fungal infection more apparent and easier to detect. Even though only a small 599 600 number of artificially infected samples were covered in this work, the results revealed the difficulty in 601 early detection of fungal infection. Liang et al. [94] reported a study focusing on shelled almond kernels, in which the reflectance spectra between 800 and 2500 nm were applied to discriminate infected and 602 uninfected almonds, and the infecting fungus (A. flavus vs. A. parasiticus) as well. The samples were 603 604 prepared by the following procedures: i) inoculating the spore suspension of A. flavus, A. parasiticus or sterile deionized water (uninfected control) separately, ii) incubated at 31 °C for 7 days and iii) washed 605 606 with sterile deionized water containing 0.05% Tween-20 to remove conidia from the surface of infected kernels. Using the pre-processed absorbance spectra by 13-point (26 nm) Savitzky-Golay (SG) 2nd 607 608 derivative filter, the backward elimination process was first performed to select the most important 609 wavelengths, and then the canonical discriminant analysis (CDA) classifiers were developed to 610 discriminate the infected and uninfected almonds. The best (lowest total classification error rate) and 611 smallest (built based on the least number of wavelengths without a significantly inferior error rate) classifiers achieved the total classification error rates of 0.09% and 0.26%, respectively, using 34 and 22 612 613 selected wavelengths. Figure 5 shows the mean absorbance spectra of the infected and uninfected almond kernels. It was found that the largest differences between them seemed to occur at the lipid absorbance 614 615 bands (e.g. 1210, 1720 and 1760 nm). In identifying the infected fungus, the best and smallest canonical classifiers yielded the total classification error rates of 13.2% and 14.7% using 42 and 38 selected 616 617 wavelengths, respectively. The wavebands related to lipids were similarly observed to contribute 618 significantly more than did the other bands in discriminating the infecting fungus. Both A. flavus and A. parasiticus belong to Aspergillus section Flavi and are phylogenetically related, so the authors deduced 619 620 that the main differences between these infected almond kernels result from the differences in the

metabolism and aflatoxin biosynthesis of *A. flavus* and *A. parasiticus*. Lipids are the most abundant
compounds in almond kernels (49.93%), thus any changes caused by fungal invasion and metabolism
could be represented in their spectra. However, in a different work, from the Vis/NIR reflectance spectra
(400~1100 and 1100~2500 nm) of mycelia and spores of *A. flavus* and *A. niger*, Phetkaeo et al. [95]
found the existing spectral differences between both fungi, and concluded that it was possible to identify *Aspergillus* spp. fungi from their Vis/NIR spectra.

What is more, Durmus et al. [96] reported a work on employing FT-NIRS (780-2500 nm) with 627 assistance of a bifurcated fiber-optic probe to detect both surface-mold and aflatoxin contaminations in 628 629 dried figs. The fig samples were classified as mold- and aflatoxin-positive and -negative based on the thresholds of 4 colony forming units per cm<sup>2</sup> (CFU/cm<sup>2</sup>) and 4  $\mu$ g/kg (the maximum allowed limit of 630 aflatoxin in European Union (EU) countries), respectively. Using the normalized spectra, the forward 631 feature selection (FFS) algorithm was first employed to select the most significant features, and then 632 based on the determined features, 5 different classifiers including a linear discriminant classifier (LDC), a 633 logistic linear classifier (LOGLC), a quadratic discriminant classifier (QDC), a KNN classifier and a 634 Parzen classifier (PARZENC) were conducted in this work. The obtained results showed that both LDC 635 and LOGLC classifiers performed excellently in identifying aflatoxin and surface-mold contaminations, 636 with no errors occurring in any classifications. The authors also analyzed the correlation between the 637 638 surface-mold and aflatoxin contamination, and found that 91 of the 98 mold-positive figs have more than  $4 \mu g/kg$  aflatoxin concentration (aflatoxin-positive), and 71 of the 74 mold-negative figs have aflatoxin 639 640 lower than 4  $\mu$ g/kg (aflatoxin-negative), which indicated a strong correlation between surface-mold and 641 aflatoxin contaminations.

642 3.3. Applications of HSI technique

643 3.3.1. Applications of HSI technique in aflatoxin contamination

644 Unlike the early applications of FS and NIRS in detection of aflatoxin contamination, the application of
645 HSI for aflatoxin detection began relatively recently. Atas et al. [83] investigated the use of HSI between

646 400 and 720 nm (10 nm spectral bandwidth) to detect aflatoxin in naturally contaminated ground red chili pepper flakes, in which both halogen and UV lights were used for illumination. The feature vectors of 647 648 energy values at individual spectral bands, images of consecutive spectral bands and quantized histogram matrix (OHM) were extracted first, and then different feature selection methods including hierarchical 649 bottleneck backward elimination (HBBE), Guyon's SVM-recursive feature elimination (SVM-RFE), 650 classical Fisher discrimination power and principal component analysis (PCA) were performed to 651 decrease the data dimensions. Based on the threshold of  $10 \,\mu g/kg$  (the maximum allowed limit of 652 aflatoxin for spices and herbs in EU countries), the classifiers of multilayer perceptrons (MLPs) and LDA 653 654 were established separately using the selected features. The obtained results showed that among all the 655 models developed, the best classification accuracy could be achieved with 83.26% under halogen illumination using the selected QHM features by HBBE method and the MLP classifier. 656

Later, a number of studies were conducted using HSI to detect aflatoxin contamination in corn kernels. 657 Wang et al. [97] first reported a study discriminating the AFB<sub>1</sub> contamination levels on corn surface, in 658 which the contaminated samples were artificially prepared by dropping different amounts of aflatoxin 659 standard dissolved in methanol on corn surface to achieve 10, 20, 100 and 500  $\mu$ g/kg contamination levels. 660 661 After preforming the following procedures including extracting mean reflectance spectra from the region of interest (ROI) of each corn image, absorbance transformation, SNV pre-processing, PCA and stepwise 662 663 factorial discriminant analysis (FDA) over the spectral range of 1000-2500 nm, an overall classification accuracy of 88% was obtained in the prediction set. In a follow-up study conducted by Wang et al. [98], 664 665 similar data processing procedures were used over the spectral range of 400-1000 nm. Quite positive results, with an overall classification accuracy of 98%, were achieved in identifying the same  $AFB_1$ 666 contamination levels on corn surfaces. Both studies demonstrated the potential of HSI in detecting AFB<sub>1</sub> 667 668 contamination on corn kernel surfaces. In subsequent studies by Wang et al. [99-100], a different sample preparation method was used. HSI over the range of 1000-2500 nm was applied to detect AFB<sub>1</sub> 669 670 contamination from artificially inoculated corn with A. *flavus* spore suspension at an early dough stage in

671 the field. Wang et al. [99] found that the first two principal components (PCs) extracted from the secondary PCA, namely PC<sub>1</sub> and PC<sub>2</sub>, mainly reflected the common features of corn kernels regardless 672 whether they were healthy or fungus-infected, therefore, only the PCs from PC<sub>3</sub> to PC<sub>7</sub> were used as the 673 inputs for the spectral angle mapper (SAM) classifier to classify the healthy and contaminated corn 674 kernels. With two commercial corn hybrids of 'BH8740VTTP' and 'BH9051RR' included in this work, 675 the overall classification accuracies over 92.3% were achieved using the threshold of 20 µg/kg. Wang et 676 al. [100] also reported another study in which four commercial corn hybrids of 'BH8740VTTP', 677 'DKC697', 'P31G98' and 'BH9051RR' were used. Based on the five PCs from PC<sub>4</sub> to PC<sub>8</sub>, the SAM 678 classifiers yielded classification accuracies of 96.15% and 50%, 80% and 70%, 82.61% and 85.71%, 679 680 83.33% and 66.67% in identifying the AFB<sub>1</sub><10  $\mu$ g/kg or AFB<sub>1</sub>≥100  $\mu$ g/kg kernels and the 10  $\mu$ g/kg ≤AFB<sub>1</sub><100 μg/kg kernels for 'BH8740VTTP', 'DKC697', 'P31G98' and 'BH9051RR' corn hybrids, 681 682 respectively when germ sides were placed up (towards the sensor). The corresponding classification accuracies were 96.15% and 75%, 85% and 70%, 91.3% and 71.42%, 88.88% and 50% when germ sides 683 were placed down. It could be observed that superior model performance could be generally obtained in 684 identifying the AFB<sub>1</sub><10  $\mu$ g/kg or AFB<sub>1</sub> $\geq$ 100  $\mu$ g/kg corn kernels compared to those with 10  $\mu$ g/kg 685  $\leq$ AFB<sub>1</sub><100 µg/kg. Also, no significant differences of model performance were observed between the 686 687 germs-up and germs-down placement of corn kernels.

688 In another study by Vis/NIR HSI over the spectral range of 400-900 nm in which the contaminated samples were prepared by inoculating the toxigenic A. flavus suspension artificially at an early dough/late 689 690 milk stage of kernel development, Zhu et al. [101] obtained 90% overall accuracies from the germ side when taking 20 and 100 µg/kg as thresholds, separately. It was also found in this work that the gradient of 691 the slope of the reflectance spectra over the range of 700~800 nm increased as the aflatoxin 692 693 contamination level increased. When the band ratio image of 800 to 700 nm was calculated and used to identify the aflatoxin contaminated kernels, an overall identification accuracy of 80% was achieved when 694 695 using  $100 \,\mu g/kg$  as the threshold. Further, Zhu et al. [65] reported integration of fluorescence and

696	reflectance HSI under both UV and halogen illumination, to detect aflatoxin-contaminated corn. Both
697	least squares SVM (LS-SVM) and KNN classifiers were employed in this work, and the results showed
698	that individual fluorescence and reflectance image data achieved generally similar classification
699	accuracies. Using the images collected from the germ sides, the integrated form of fluorescence and
700	reflectance was able to produce better results than using only one type of spectra (fluorescence or
701	reflectance), and particularly, the true positive rates (TPRs) could be improved conspicuously after the
702	integration. The best overall prediction accuracy of 95.33% was obtained using the integrated information
703	from the germ side of corn kernels based on the LS-SVM model and the threshold of 100 $\mu$ g/kg.
704	Moreover, the authors calculated the mean aflatoxin concentration of the prediction samples and found it
705	to be reduced from 2662.01 $\mu$ g/kg to 64.04, 87.33, and 7.59 $\mu$ g/kg after removing contaminated kernels
706	identified by fluorescence, reflectance, and integrating both, respectively, from the germ side. More
707	recently, Chu et al. [102] reported a study using NIR HSI over the spectral range of 1000-2500 nm to
708	detect AFB <sub>1</sub> contamination in corn kernels which were artificially infected by inoculating A. flavus
709	suspension in the field. PCA analysis was also performed to decrease the data dimensions in this work,
710	however, unlike the above-mentioned studies by Wang et al. [99-100] where the first several PCs were
711	avoided for developing classifiers, the authors established the SVM classification models using the first
712	five PCs, and obtained an overall classification accuracy of 82.50% when discriminating the corn kernels
713	into three groups of <20 $\mu$ g/kg, 20-100 $\mu$ g/kg and >100 $\mu$ g/kg. In addition, the authors also observed that
714	a general correlation existed between the actual AFB <sub>1</sub> content of corn kernels and the first several PCs,
715	and the $R_c^2$ and $R_P^2$ achieved with 0.77 and 0.70, respectively, with large standard deviations (SDs).
716	Kandpal et al. [103] reported a study employing short-wave infrared (SWIR) HSI (1100-1700 nm) to
717	discriminate different AFB <sub>1</sub> contamination levels on corn kernel surfaces. The contaminated samples
718	were artificially prepared by emerging healthy kernels into four concentrations of AFB <sub>1</sub> solutions (10,
719	100, 500, and 1000 $\mu$ g/kg) diluted with 100% acetonitrile for ~12 h. PLS-DA models were developed to
720	categorize control and different levels of contaminated kernels and the overall classification accuracies of
721	90.7%, 92.3% and 96.9% were yielded for yellow, white and purple corns, respectively. By applying the

beta coefficient of the PLS-DA model pixel-wise to the hyperspectral images, the final contamination maps of AFB<sub>1</sub> for different corn varieties were generated (Figure 6), providing direct visualization for AFB<sub>1</sub> contamination of corn kernels that could not be obtained from conventional techniques. However, it should be noted that the concentrations of 10, 100, 500, and 1000  $\mu$ g/kg were not the concentrations of AFB<sub>1</sub> on the corn kernels, and the actual concentrations on corn kernels were unknown in this work.

#### 727 3.3.2. Applications of HSI technique in fungal contamination

728 Yao et al. [104] reported an early work investigating the feasibility of HSI technique between 400 and 729 1000 nm to differentiate five fungal species which included A. flavus, A. parasiticus, Penicillium chrysogenum, Fusarium moniliforme (verticillioides) and Trichoderma viride. Two experiments were 730 731 conducted, namely, each fungus was inoculated and cultured in an individual Petri dish in experiment A, and all five fungal strains were inoculated and cultured at different positions in a single dish in 732 733 experiment B. All the images were acquired at day 5 of fungal growth. Based on the classification algorithm of ML, an overall fungal classification accuracy of 97.7% was achieved in experiment A, while 734 in experiment B the accuracy dropped to 71.5%, possibly due to the rapid growth of Trichoderma viride 735 in experiment B which contaminated the spectral reflectance features of the other four isolates. 736 Additionally, 10 optimum wavebands were determined for classification of the five fungal species using 737 738 stepwise discriminant analysis, namely, 450, 458, 478, 509, 541, 572, 616, 670, 743 and 864 nm. Among them, the wavelengths of 743, 458 and 541 nm were the most useful, and using them, the five fungal 739 740 species could also be separated with an acceptable accuracy. Additional work was conducted by Jin et al. [105] in which the HSI system illuminated by both halogen and UV (excitation wavelength range: 180-741 742 400 nm) lights was employed to classify toxigenic and atoxigenic strains of A. flavus over the spectral 743 range of 400-1000 nm. The Aspergillus strains of aflatoxin-producing AF13 and three non-toxin producing AF2038, AF283 and AF38 were included in this study, and the strains were all cultured on 744 745 potato dextrose agar (PDA) medium for 7 days before imaging. After performing PCA for data decorrelation and dimensionality reduction, and GA for selection of PCs based on Bhattacharya distance, 746

SVM classifiers were developed for classification of different *Aspergillus* strains. The results showed that under the halogen light source, the average accuracy rates of 83% and 74% were obtained in classifying toxigenic fungus pixels and the atoxigenic fungus pixels, respectively; while under the UV light source, 67% and 85% classification accuracies were attained correspondingly. The pair-wise classification accuracies between toxigenic AF13 and each atoxigenic fungal species (AF38, AF283 and AF2038) were 80%, 91% and 95%, respectively, under halogen light sources, and 75%, 97% and 99% under UV lights, respectively.

Both studies mentioned above demonstrated the capability of HSI technique in classifying fungal 754 755 species and Aspergillus strains, and in this context, studies on early detection of fungal infection in different varieties of agricultural products were carried out and promising results were demonstrated. 756 757 Fiore et al. [106] reported a study using the Vis/NIR HSI technique (400-1000 nm) to detect fungal infection of corn kernels. The authors first examined the system's feasibility in identifying fungal species 758 759 inoculated with conidial suspensions on PDA medium in Petri dishes and incubated for 7 days. It was 760 found that each species showed an increasing absorbance spectral signal during the growth. To evaluate the changes induced by fungal contamination on corn kernels in spectral profiles, artificial contamination 761 assays with different fungal species (A. flavus 3357, A. parasiticus 2999, A. niger 7096 or Fusarium 762 graminearum 126) were carried out with 12 commercial maize hybrids (Z. mays L.). The infected corn 763 764 kernels were incubated for a total of 10 days, and were taken out for imaging on day1, day 2, day 3, day 4, day 7 and day 10. By performing PCA analysis, the four wavelengths of 410, 470, 535 and 945 nm were 765 766 selected as taking the highest factor loadings. The authors found that for corn kernels inoculated with A. flavus 3357, the Fisher's least significant difference analysis of spectra between the categories of 767 768 uninoculated (control) and day-2 infected corn samples already showed significant differences at 410 or 769 470 nm, which demonstrated the possibility of using the Vis/NIR HSI technique in discriminating fungus-770 infected corn kernels from uninfected kernels. Thus, the Vis/NIR HSI could provide an alternative 771 technique for early detection of fungal infection of corn samples. More recently, another work with corn

772 samples was carried out by Zhao et al. [107] in which the NIR HSI technique over the spectral range of 773 921-2529 nm was utilized to identify fungus-infected corn kernels. The infected corn kernels were artificially infected with 10<sup>6</sup> spores/mL of A. parasiticus suspension, and incubated at 30 °C for 1-7 days. 774 775 Mean reflectance spectra were first extracted from the ROIs, and different spectral pre-processing 776 methods including SG smoothing, moving average smoothing (MAS), normalization, SNV and MSC 777 were performed on the extracted spectra individually or in combination. The performance of different spectral pre-processing methods was compared based on the yielded SVM classification results. The 778 obtained results showed that the spectral pre-processing method of "MAS+SNV" performed best when 779 categorizing the kernels into four groups of control, day 1-day 2, day 3-day 4 and day 5-day 7. Based on 780 781 that, the overall classification accuracies of 91.67% and 84.38% were achieved with all germ-up kernels (germs towards camera) and mixed germ-up (50%) and germ-down (50%) kernels, respectively. 782

In addition to corn samples, studies using the HSI technique to detect fungal infection have also been 783 reported with date fruit, pistachio nuts, pulses and peanuts. Teena et al. [108] published a study 784 employing NIR HSI over the region of 960-1700 nm to classify fungus-infected date fruit. In this work, 785 the date fruit samples were treated as three groups: untreated control (UC), sterile control (SC) (surface 786 sterilized, rinsed and dried) and inoculated samples (IS) (surface sterilized, rinsed, dried and inoculated 787 with A. flavus suspension), and all the samples were imaged every 48 h after inoculation for a total of 10 788 789 days using an area-scan HSI system. By performing PCA, the top four most significant wavelengths corresponding to the highest factor loadings of the first PC, namely, 1120, 1300, 1610 and 1650 nm were 790 791 first selected and then a total of 64 features (16 features from each selected wavelength) were extracted 792 and applied to build the LDA and quadratic discriminant analysis (QDA) classifiers. The classification 793 accuracies for IS were compared with UC and SC separately using six-class model (control, infected day 794 2, day 4, day 6, day 8 and day 10), two-class model (control vs infected (all stages of infection together)) and a pair-wise model (control vs each stage of infection). The mean accuracy (LDA and QDA) for 795 796 discriminating the IS samples from the SC samples was 91.5%, 91.0% and 99.0% using the six-class,

797 two-class and pair-wise model, respectively. Similarly, the accuracy was 92.4%, 100.0% and 99.6% when 798 identifying the IS samples from the UC samples using the six-class model, two-class model and pairwise-799 model, respectively. Another study reported classifying pistachio kernels infected by two different 800 isolates of A. flavus, KK11 and R5, which are aflatoxin-producing and non-aflatoxin-producing fungal 801 strains, respectively [109]. The infected samples were imaged every 24 h after inoculation for a total of 7 days by HSI over the spectral range of 900-1700 nm. Both the LDA and QDA classifiers were established 802 in this work and their obtained results showed that the QDA performed better than the LDA. The QDA 803 model could yield 100% classification accuracy in distinguishing healthy (control) samples from KK11 or 804 805 R5 infected samples at all stages, while the classification accuracy dropped to 94.4% when considering 806 infected fungal species. More recently, Karuppiah et al. [110] reported a work on the detection of fungal infection in five different pulses using NIR HSI between 900 and 1700 nm. The five pulses of chick peas, 807 808 green peas, lentils, pinto beans and kidney beans were artificially infected with A. flavus and Penicillium 809 commune by spraying with fungus-inoculated water, and the images of healthy and fungal-infected kernels were acquired at 2-week intervals (0, 2, 4, 6, 8 and 10 weeks from artificial inoculation). Both the 810 811 LDA and QDA classifiers were established in six-way (healthy vs the five different stages of infection) 812 and two-way (healthy vs every stage of infection) models, and the results showed that the LDA classifier 813 could identify both types of fungal infections with 90-94% accuracy when using the six-way model, and with 98-100% accuracy when using the two-way models for all five types of pulses, The QDA classifier 814 815 also showed promising results as it gave 85-90% accuracy for the six-way model and 96-100% accuracy 816 for the two-way model. Also, the authors identified significant wavelengths from the first and second PC factor loadings for different types of pulses infected by A. flavus and Penicillium commune in this study. 817 818 Additionally, Pearson and Wicklow [86] also tested the capability of MSI in transmittance mode in 819 identifying the fungus-infected corn kernels with "extensive discoloration" symptoms from asymptomatic kernels. They used 11 pass bands of the interference filters which were centered at 780, 830, 870, 880, 820 821 890, 905, 920, 930, 960, 980 and 1020 nm with a 10-nm full width in this work, and their results showed

that using 3 determined features determined by stepwise discriminant analysis, the classification
accuracies of 93% and 90% could be achieved for asymptomatic and "extensive discoloration" groups,
respectively. The 3 selected features were % 780 nm pixels < 112, % 920 nm pixels < 208, and % 1020</li>
nm pixels < 128.</li>

#### 826 4. Conclusions and future prospects

827 This review summarized the recent research progress of three important optical techniques, namely FS, NIRS and HSI techniques in rapid and non-destructive detection of aflatoxin contamination and fungal 828 829 infection in a wide variety of agricultural products, and promising results from the reported studies have demonstrated the capabilities of such optical-based methods. Depending on their detection principles and 830 831 hardware components, each optical technique has its own characteristic properties in detecting aflatoxin and fungal contaminations. In detail, due to the specific occurrence of fluorescence phenomenon, FS can 832 show high sensitivity and specificity when detecting aflatoxin contamination compared with the other two 833 optical techniques. However, the background fluorescent elements from the tested sample can often affect 834 835 the obtained aflatoxin fluorescence spectra, resulting in mixed wide or shifted fluorescence peaks. As a 836 result, it seems more important to employ suitable chemometric techniques for handling fluorescence spectral data in order to obtain accurate models for detecting aflatoxins. NIRS, as a classical optical 837 838 method, has shown great capabilities in detection of both aflatoxin contamination and fungal infection in different varieties of agricultural products, and some researchers even developed an automatic sorter for 839 removing high concentrations of aflatoxin-contaminated corn kernels based on this technique. The 840 841 significant reductions in average aflatoxin concentration of entire lots using NIRS technique have been verified in several studies. However, due to its nature of "point" detection and the possible inhomogeneity 842 of aflatoxin and fungal contamination on different commodities, the accuracy of NIRS may be limited on 843 844 detection of the inhomogeneous distribution of the contaminations. Thus, multi-point detection may be required for NIRS to achieve a better prediction of the overall contamination level of the test sample. In 845 846 addition, it was observed from the reported studies that most of the work on aflatoxin detection using

847 NIRS was based on the sample preparation method of natural fungal infection or artificial inoculation of aflatoxigenic fungus, which therefore involve the processes of fungal growth and metabolic activities that 848 may cause interior and/or external changes of the tested samples. Therefore, the underlying principle of 849 850 such detections using NIRS still needs to be investigated. Other than the spectroscopic methods which are generally considered to be based on point-detection, HSI fuses the merits of traditional imaging and 851 spectroscopy techniques, and thus enables the mapping of contaminations within the tested sample, which 852 is especially useful for the uneven distribution of contaminants, such as aflatoxin. However, the HSI still 853 remains an expensive technique, and it is still far from introducing industrial HSI sensor to applications in 854 the automatic sorting lines. It appears that due to the high dimensionality of data and time constraints for 855 image acquisition and subsequent image analyses when using the HSI technique, it would be more 856 practical to seek the most sensitive wavebands so that MSI systems can be built. Development of more 857 858 cost-effective and user-friendly MSI instrument appears to be the logical trend for real-time applications 859 of HSI.

It is also apparent from this review that generally low levels of aflatoxin contamination and early stages 860 of fungal infection may not be accurately detected, endeavors are still needed to improve it in the future. 861 The substantial advancement of hardware and software of the instrumental systems may provide 862 improved performance through increased efficiency of the detection system. Further, the development of 863 864 novel chemometric techniques including extracting meaningful and relevant information from the overlapped and superimposed spectra of complex food matrices may open a new practical way for 865 866 detection of aflatoxin contamination in agricultural products. The information fusion of different techniques can also be a promising way for higher predictive accuracy. Moreover, developments that 867 make the instrument compact, and therefore portable, and provide a decent signal-to-noise ratio (SNR), 868 869 will increase the applicability of the optical instruments for on-site analysis of aflatoxin and fungal 870 contaminations of different commodities. With the development of optical hardware and chemometrics,

the optical-based method will become an alternative tool for the detection of aflatoxin and fungal

872 contamination in agricultural products to ensure food and feed safety.

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#### **Figure Captions**

Figure 1. Chemical structures of aflatoxins: (a) AFB<sub>1</sub>, (b) AFB<sub>2</sub>, (c) AFG<sub>1</sub>, (d) AFG<sub>2</sub>.

Figure 2. Mean fluorescence emission spectra of control and contaminated corn with aflatoxin threshold

of (A) 20  $\mu$ g/kg, (B) 100  $\mu$ g/kg [62].

**Figure 3.** Scatter plots created by the first two canonical discriminant scores derived from normalized spectra of FT-NIRS of corn kernels [46].

Figure 4. Plots of mean absorbance spectra of *A. flavus*-infected corn kernels from different categories [86].

**Figure 5**. Mean spectra of infected (solid line) and uninfected (dashed line) almonds: (A) original absorbance spectra, (B) 2<sup>nd</sup> derivative absorbance spectra [94].

Figure 6. Contamination map of the PLS-DA model for corn samples [103].



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Figure 6. Contamination map of the PLS-DA model for corn samples [103].

Product	Tested aflatoxin	Contaminated sample source	Whether needs sample pre- processing before collecting spectral data?	Instrument used	Measurement mode	Spectral range (nm)	Data analysis method	Accuracy	Reference
Pistachio nut	Total aflatoxins	Provided by nut processor	No	Fluorescence MSI	Fluorescence	410, 440, 480, 520, 560, and 600	FSP-DF	92%	[39]
Cashew	Total aflatoxins	Provided by nut processor	No	Fluorescence MSI	Fluorescence	410, 440, 480, 520, 560, and 600	FSP-DF	82%	[39]
Red chili powder	$AFB_1$	Spiking with the AFB <sub>1</sub> standard in methanol	No	FT-NIRS	Diffuse reflectance	780-2500 (12800-3600 cm <sup>-1</sup> )	PLSR	R <sub>P</sub> =0.967	[45]
Corn	Total aflatoxins	Both naturally contaminated and field-inoculated	Yes	FT-NIRS	Reflectance	1000-2500 (4000-9999 cm <sup>-1</sup> )	LDA, KNN, PLS- DA; MLR	Classification: 60-96% in validation; Quantification: $R_P^2$ : 0.60-0.88, RMSEP: 106-194 µg/kg	[46]
Corn, barley, corn+barley	AFB <sub>1</sub>	Induced by vaporization with water	No	Vis/NIRS	Reflectance	400-2500	PLS-DA	$R_{CV}^{2}=0.80/0.85/0.92;$ SECV=0.211/0.176/0.142 for corn, barley and corn+barley, respectively	[47]
Corn, barley, corn+barley	AFB <sub>1</sub>	Induced by vaporization with water	No	FT-NIRS	Reflectance	1112-2500 (9000-4000 cm <sup>-1</sup> )	PLS-DA	$R_{CV}^{2}$ =0.82/0.84/0.81; SECV=0.201/0.183/0.203 for corn, barley and corn+barley, respectively	[47]
Corn	Total aflatoxins	Artificial inoculation of corn ears with <i>A</i> . <i>flavus</i> in the field	No	Fluorescence HSI	Fluorescence	400-600	SAM	86% with 20 ppb as the threshold; 88% with 100 ppb as the threshold	[58]
Corn	Total aflatoxins	Artificial inoculation of corn ears with <i>A</i> . <i>flavus</i> in the field	No	Fluorescence HSI	Fluorescence	400-600	MLR/DA	Adjusted $R_c^2$ =0.72; classification accuracies: 84- 91%	[59]
Corn	Total aflatoxins	Artificial inoculation of corn ears with A. <i>flavus</i> in the field	No	Fluorescence HSI	Fluorescence	400-600	GA-SPCR, PCR	R <sub>C</sub> : 0.80-0.82	[60]
Corn	Total aflatoxins	Artificial inoculation of corn ears with <i>A. flavus</i> in the field	No	Fluorescence HSI	Fluorescence	400-600	GA-SVM	87.7% with 20 ppb as the threshold; 90.5% with 100 ppb as the threshold	[61]
Corn	Total aflatoxins	Artificial inoculation of corn ears with <i>A</i> . <i>flavus</i> in the field	No	Fluorescence HSI	Fluorescence	400-900	ML and binary encoding	Validation accuracy: 80-88%	[62]
Corn	Total aflatoxins	Artificial inoculation of corn ears with	No	Fluorescence HSI	Fluorescence	400-700	LDA	78.9 and 77.2% with 20 ppb as the threshold; 94.4 and 91.7%	[63]

Table 1. Recent publications on qualitative/quantitative detection of aflatoxin contamination in different agricultural products using the three optical methods.

		AF13 and AF38 in the field						with 100 ppb as the threshold	
Corn	Total	Artificial inoculation	No	Fluorescence HSI	Fluorescence	398.77-700.82	LS-SVM/KNN	86.67-93.33%	[65]
	aflatoxins	of corn ears with A.							
Com	T- 4-1	<i>flavus</i> in the field	N.	TICI.	Deflectores	460 97 976 00		00.04%	[65]
Corn	aflatoxins	of corn ears with A	INO	HSI	Reflectance	400.8/-8/0.99	LS-SVIVI/KININ	90-94%	[05]
	unutoxins	flavus in the field					<u>_</u>		
Corn	Total	Artificial inoculation	No	Fluorescence and	Fluorescence	Fluorescence:	LS-SVM/KNN	90-95.33%	[65]
	aflatoxins	of corn ears with A.		normal HSI	and reflectance	398.77-700.82	Y		
		<i>flavus</i> in the field				Reflectance:	X		
Peanut	AFB.	Inoculation with A	Yes	Luminescence	Fluorescence	400.87-876.99		$R_{c}=-0.99$ between $log_{10}$ (AFB)	[66]
kernel		flavus and then	105	spectrometer	Tuorescence			concentration) and	[00]
		incubation		1				log <sub>10</sub> (fluorescence intensity)	
Hazelnut	Total	Soaking in A.	No	Fluorescence MSI	Fluorescence	400-510, 550,	LDB-LDA	92.3%	[67]
kernel	aflatoxins	parasiticus				600			
		water and then							
		incubation							
Ground red	Total	Obtained from the	No	Fluorescence MSI	Fluorescence	400-510, 550,	LDB-LDA	79.2%	[67]
chili pepper	aflatoxins	market	N			600			[70]
Pistachio nut	1 otal	/	NO	fiber optic	Fluorescence	420, 490	$Log_{10}(I_{490}/I_{420})$	1 otal classification errors of $20.4$ , 15.4	[/0]
	anatoxins			photometer				4.0. and 13.9%	
Nutmeg	AFB <sub>1</sub> ,	Uncontaminated	Yes	FF	Fluorescence	200-800	PLSR	$R_{\rm P}^2 = 0.773,$	[76]
powder	AFB <sub>2</sub> ,	samples spiked with						SEP=1.0 μg/L,	
	$AFG_1$ and $AFG_2$	aflatoxin standard							
Wheat	$AFB_1$	Purchased from	Yes	Spectrofluorimetry	Enhanced	390-490	PARAFAC	R <sub>c</sub> >0.99.	[77]
	1	markets			fluorescence			LOD=0.9 µg/kg	[]
Pistachio	$AFB_1$	Provided by a	Yes	Spectrofluorimetry	Enhanced	Normal: 380-	MLR, PCR,	REP: 4.35-27.95%	[78]
		research lab			fluorescence	480; Symahaan ayya	PLSR		
						300-430			
Ground red	Total	Obtained from	No	Fluorescence MSI	Fluorescence	400-510, 550,	LDA/MLP	67.5-87.5%	[83]
chili pepper	aflatoxins	market				600			
Ground red	Total	Obtained from	No	Fluorescence HSI	Fluorescence	400-720	LDA/MLP/SVM	The best classification	[83]
chili pepper	aflatoxins	market	(					accuracy=72.63%	
Ground red	Total	Obtained from	No	HSI	Reflectance	400-720	LDA/MLP/SVM	The best classification	[83]
chili pepper	aflatoxins	market						accuracy=83.26%	
flakes			y y						
Corn	Total	Wound-inoculation	No	Vis/NIR	Transmittance /Reflectance	Transmittance:	DA, PLSR	Classification accuracy>95%	[84]
	anatoxins	field		spectrometer	/ Reflectance	Reflectance:		or $<10$ ppb: $\sim25\%$ for	
						550-1700		$10 \le a faltoxins \le 100 \text{ ppb}$	
Corn	Total	Wound-inoculation	No	Vis/NIR	Reflectance	400-1700	MD	> 99% with kernels stationary	[85]
	aflatoxins	with A. flavus in the		spectrometer				and 100 ppb as the threshold	

		field or naturally infected							
Corn	Total aflatoxins	Wound-inoculation with <i>A. flavus</i> in the field or naturally infected	No	High-speed optical sorter	Reflectance	750, 1200	Rejection threshold	Reduction in aflatoxins averaged 82% with an initial level of aflatoxins at >10 ppb; 38% at <10 ppb	[85]
Corn	Total aflatoxins	Purchased from markets and artificial inoculation with <i>A</i> . <i>flavus</i> in the field	No	MS optical sorter	Reflectance	Nine distinct wavelengths between 470 and 1550	LDA	83% reduction in total aflatoxins	[87]
Paddy rice	AFB <sub>1</sub>	Naturally and artificially contaminated by adding water to the samples induce the AFB <sub>1</sub> production	Yes	FT-NIRS	Reflectance	1000-2500 (4000-10000 cm <sup>-1</sup> )	PLSR	R <sub>P</sub> <sup>2</sup> : 0.79-0.85, SEP: 3.21-3.62 μg/kg	[88]
Red paprika powder	AFB <sub>1</sub> , Total aflatoxins	Naturally contaminated	No	NIRS	Reflectance	1100-2000	modified PLSR	RMSEP= $0.2/1.2 \ \mu g/kg$ for AFB <sub>1</sub> /total aflatoxins	[89]
Corn	AFB <sub>1</sub>	Artificial surface contamination with aflatoxin standard dissolved in methanol	No	HSI	Reflectance	1000-2500	Stepwise FDA	88%	[97]
Corn	AFB <sub>1</sub>	Artificial surface contamination with aflatoxin standard dissolved in methanol	No	HSI	Reflectance	400-1000	Stepwise FDA	98%	[98]
Corn	AFB <sub>1</sub>	Artificial inoculation of corn ears with <i>A</i> . <i>flavus</i> in the field	No	HSI	Reflectance	1000-2500	SAM	92.3%	[99]
Corn	AFB <sub>1</sub>	Artificial inoculation of corn ears with A. <i>flavus</i> in the field	No	HSI	Reflectance	1000-2500	SAM	50-96.15%	[100]
Corn	Total aflatoxins	Artificial inoculation of corn ears with A. <i>flavus</i> in the field	No	HSI	Reflectance	400-900	DT	90%	[101]
Corn	Total aflatoxins	Artificial inoculation of corn ears with A. flavus in the field	No	HSI	Reflectance	700, 800	Pixel threshold based on ratio	80%	[101]
Corn	AFB <sub>1</sub>	Artificial inoculation of corn ears with A.	No	HSI	Reflectance	1000-2500	SVM, Correlation analysis	82.50%, $R_P^2=0.70$	[102]
Corn	AFB <sub>1</sub>	Artificial surface contamination with AFB <sub>1</sub> solutions	No	HSI	Reflectance	1100-1700	PLS-DA	90.7-96.9%	[103]

Product	Infected sample source	Instrument used	Measurement mode	Spectral range (nm)	Data analysis	Accuracy	Reference
Hazelnut kernel	Soaking in <i>A. parasiticus</i> suspension and pure water, and then incubation	Fluorescence MSI	Fluorescence	400-510, 550, 600	LDB-LDA	95.7%	[67]
Corn	Artificial inoculation of corn ears with <i>A. flavus</i> in the field	Vis/NIRS	Reflectance	550-1770	Stepwise DA	97-98% in identifying the "asymptomatic" samples; 85-91% in identifying the "extensive discoloration" samples	[86]
Corn	Artificial inoculation of corn ears with <i>A. flavus</i> in the field	MSI	Transmittance	780, 830, 870, 880, 890, 905, 920, 930, 960, 980 and 1020	Stepwise DA	93% and 90% achieved for asymptomatic and "extensive discoloration" groups, respectively	[86]
Peanut	Artificial inoculation with <i>A</i> . <i>flavus</i> between the two seed leaves	Spectrophotometer	Transmittance	700, 1100	Transmittance ration threshold	Aflatoxin content reduced to 4-18% levels after segragation	[90]
Corn	Wound-inoculation with <i>A</i> . <i>flavus</i> in the field	NIRS	Reflectance	904-1685	LDA, ANN	LDA: 93%, 76% and 74%, ANN: 81%, 86% and 68% for uninfected, early and advanced stage groups, respectively	[91]
Corn	Artificial inoculation with <i>A</i> . <i>flavus</i> and incubation	NIRS	Reflectance	1100-2500	PLSR	RPD: 1.55-1.74 for whole grain in determining the infected ratios; RPD: 3.40-5.36 for ground grain in determining the infected ratios	[92]
Rice	Artificial inoculation with different concentrations of <i>A</i> . <i>flavus</i> without incubation	NIRS	Reflectance	950-1650	PLSR	The best $R_P$ and SEP are 0.71 and 28.07% for predicting the percentage of total fungal infection, respectively	[93]
Rice	Artificial inoculation with different concentrations of <i>A</i> . <i>flavus</i> without incubation	NIRS	Reflectance	950-1650	PLSR	The best $R_P$ and SEP are 0.48 and 17.93% for predicting the percentage of yellow-green <i>Aspergillus</i> infection, respectively	[93]
Shelled almond kernel	Artificial inoculation with A. flavus, A. parasiticus, and incubation	NIRS	Reflectance	800-2500	CDA	The best and smallest classifiers achieved total classification error rates of 0.09% and 0.26%, respectively	[94]
Dried fig	Obtained from a fig co- operative	FT-NIRS	Reflectance	780-2500	LDC, LOGLC, QDC, KNN, PARZENC	Classification errors: 0.00-0.33%	[96]
Corn	Artificial inoculation with A. flavus, A. parasiticus, A. niger and incubation for different times	HSI	Reflectance	400-1000	Fisher's least significant difference analysis	The categories of uninoculated and day-2 infected samples already showed significant differences at 410 or 470 nm	[106]
Corn	Artificial inoculation with A. parasiticus and incubation for different times	HSI ¥	Reflectance	921-2529	SVM	67.71-91.67%	[107]
Date fruit	Artificial inoculation with A. <i>flavus</i>	HSI	Reflectance	960-1700	LDA, QDA	LDA for classifying UC/SC and different stages of IS samples: 74-97%/74-94%;	[108]

Table 2. Recent publications on detection of aflatoxigenic fungal infection in different agricultural products using the three optical methods.

						QDA for classifying UC/SC and different stages of IS samples: 97-100%/95-100%;	
Pistachio kernel	Artificial inoculation with <i>A</i> . <i>flavus</i> KK11 and R5	HSI	Reflectance	900-1700	LDA, QDA	LDA accuracies in 15-class classification: 45.8-97.9%; QDA accuracies in 15-class classification: 70.8-100%; QDA accuracies in classifying healthy, samples infected by <i>A. flavus</i> KK11 or	[109]
Five pulses of chick peas, green peas, lentils, pinto beans and kidney beans	Artificial inoculation with <i>A</i> . <i>flavus</i> and incubation	HSI	Reflectance	900-1700	LDA, QDA	R5 at all stages: 91.3-100% LDA accuracies in 6-class classification: 71.6-100%; QDA accuracies in 6-class classification: 69.1-100%	[110]
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- Key optical methods for detecting aflatoxin and fungal contamination
- Advantages of optical techniques over conventional detection methods
- Advances in optical method development for aflatoxin and fungal contamination
- Applications of optical methods in reducing aflatoxin contamination of commodities
- Future trends and challenges for detection of aflatoxin and fungal contamination

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